

**EXHIBIT HH**

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8 UNITED STATES DISTRICT COURT FOR THE  
9 NORTHERN DISTRICT OF CALIFORNIA

10  
11 COYNESS L. ENNIX JR., M.D., as an  
individual and in his representative  
12 capacity under Business & Professions  
Code § 17200 *et seq.*,

13 Plaintiff,

14  
15 vs.

16 RUSSELL D. STANTEN, M.D., et al.,

17 Defendants.  
18

Case No.: C 07-2486 WHA

**DECLARATION OF RICHARD E.  
SHAW IN OPPOSITION TO  
DEFENDANTS' SPECIAL MOTION  
TO STRIKE**

**Date: August 16, 2007**  
**Time: 8:00 a.m.**  
**Dept: Ctrm. 9, 19<sup>th</sup> Floor**  
**Judge: Hon. William H. Alsup**

19 I, Richard E. Shaw, declare:

20 1. I am the Director of Research, Quality and Education for Sutter Pacific Heart  
21 Centers. I have personal knowledge of the facts stated in this declaration.

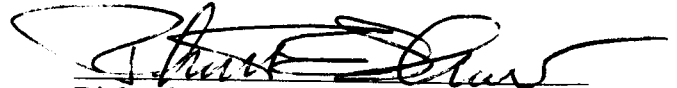
22 2. Attached as Exhibit A to this declaration is a true and correct copy of my current  
23 curriculum vitae.

24 3. In 2005 I was asked to review materials relating to heart surgeries performed by  
25 Coyness Ennix, M.D. I was asked to provide an analysis to compare Dr. Ennix's cardiac surgical  
26 results with those of other surgeons and comment on a similar analysis performed by Howard  
27 Barkan. I provided my analysis in a document dated August 30, 2005 and entitled "Analysis of  
28 Mortality and Selected Complications for Cardiac Surgeries Performed by Coyness Ennix, M.D.

1 Compared to Non-Kaiser Surgeons at Summit Medical Center.” Attached as Exhibit B to this  
2 declaration is a true and correct copy of that document. The statements I made and opinions I  
3 expressed in that document were and are true and correct to the best of my knowledge.

4 I declare under penalty of perjury under the laws of the United States of America that the  
5 foregoing is true and correct and that this declaration was signed in San Francisco, California.

6  
7 Dated: July 9, 2007

  
Richard E. Shaw

**EXHIBIT A**

## CURRICULUM VITAE

**Richard Eugene Shaw, M.A., Ph.D., F.A.C.C., F.A.C.A.**

### OFFICE ADDRESS

Sutter Pacific Heart Centers  
California Pacific Medical Center  
2200 Webster Street, Room #303  
San Francisco, California 94115  
(415)-600-5897 FAX (415)-600-3706  
E-Mail – shawr@sutterhealth.org

### HOME ADDRESS

19 Kenmar Way  
Burlingame, California 94010  
(650)-375-1280 cell (650)-678-2375

### PERSONAL DATA

#### Marital Status

Married, Christine Costa-Shaw

#### Children

Matthew, born December 6, 1985  
Brian, born June 3, 1987

### EDUCATION

- Ph.D. Medical Research Psychology (Cardiology)/Biostatistics, School of Medicine, Graduate Division and Division of Cardiology, University of California, San Francisco, CA 1984
- M.A. Psychology/Statistics, United States International University, San Diego, CA 1977  
Postgraduate Study in Biological Sciences, Ohio State University, Columbus, Ohio 1972-74
- B.A. Psychology, Duquesne University, Pittsburgh, PA 1972

### POSITIONS

- 2003 - Director of Research, Quality and Education, Sutter Pacific Heart Centers, Sutter Health, California Pacific Medical Center and St. Luke's Hospital in San Francisco, Marin General Hospital in Greenbrae and Novato Community Hospital in Novato, California. Oversee the research training for the Cardiology Fellowship Program at California Pacific Medical Center.
- 1991- 2003 Director of Research and Operations, San Francisco Heart Institute, Seton Medical Center, Daly City, CA
- 1988-1990 Director of Research Programs
- 1985-1987 Manager of Research Programs
- 1980-1985 Research Assistant, Division of Program Evaluation, School of Medicine, University of California, San Francisco, CA
- 1980-1982 Senior Nuclear Medicine Technologist, University of California, Ralph K. Davies and St. Mary Medical Center Nuclear Medicine Departments, San Francisco, CA
- 1975-1979 Staff Nuclear Medicine Technologist, Scripps Memorial Hospital Nuclear Medicine, La Jolla, CA

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**CERTIFICATION**

Nuclear Medicine Technology Certification Board #2745

**HONORS**

Founding Father Award for the ACC National Cardiovascular Data Registry	2006
"Impact Player of the Year" Mi-Co, Inc. for CRUSADE Tablet-PC/Mi-Forms project	2005
Who's Who in the World	1996-present
Who's Who in America	1996-present
Who's Who in Science and Engineering	1996-present
Who's Who in Medicine and Healthcare	1995-present
Who's Who in the West	1994-present
Who's Who in California	1988-present
Robert E. Harris Award for Distinguished Research, School of Medicine, University of California	1983
Cum Laude Graduate, Duquesne University	1972

**FELLOWSHIPS/MEMBERSHIPS IN PROFESSIONAL SOCIETIES**

Fellow, American College of Cardiology (F.A.C.C.)

Fellow, American College of Angiology (F.A.C.A.)

Founding Member, Society of Cardiovascular Computed Tomography (SCCT)

Scientific Member, American Heart Association (AHA)

Member, Council on Basic Cardiovascular Sciences (AHA)

Member, Councils on Clinical Cardiology and Epidemiology (AHA)

Member, International Andreas Gruentzig Society (Executive board member)

Member, Society for Clinical Trials

Member, American Society of Cardiovascular Interventionists

Member, American Association for the Advancement of Science

Member, New York Academy of Sciences

Member, American Statistical Association

**JOURNAL EDITORSHIP AND REVIEW**

Editor-in-Chief, The Journal of Invasive Cardiology

Journal Reviewer, Journal of the American College of Cardiology

Journal Reviewer, Circulation

Journal Reviewer, Catheterization &amp; Cardiovascular Diagnosis

Journal Reviewer, Heart &amp; Lung, The Journal of Critical Care

Journal Reviewer, Journal of Behavioral Medicine

Journal Reviewer, The American Journal of Critical Care

**HOSPITAL APPOINTMENTS**

Institutional Review Board member, California Pacific Medical Center

Institutional Review Board member, Sutter Health Services System-wide Research

Cardiovascular Oversight Committee, Sutter Health System Clinical Integration Program

Member, Care of the Cardiac Patient Committee, California Pacific Medical Center

## CURRICULUM VITAE

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## EXTERNAL APPOINTMENTS

Chair, Research and Scientific Oversight Committee of the National Cardiovascular Data Registry, American College of Cardiology (ACC)

Member, Executive Management Board, ACC National Cardiovascular Data Registry

Representative for the ACC on the Physicians Electronic Health Record Coalition Committee (PEHRC) providing policy recommendations on Health Information Technology to the Federal Government

Member, Informatics Workgroup, American College of Cardiology

Member, National Cardiovascular Data Registry (NCDR) Task Force, American College of Cardiology

Member, Research and Publications Development Subcommittee, American College of Cardiology

Chair, Data Safety Monitoring Board for the **CREST** restenosis trial studying Cilostazol (Pletal) from Otsuka (2003-04)

Biostatistician, Data Safety Monitoring Board for the Paracor Ventricular Support System (PVSS) Feasibility Study

Senior Advisor, Steering Committee for the Daughters of Charity National Cardiac Database Project (1990-95)

Member, Data Elements Subcommittee, Daughters of Charity National Cardiac Database (1990-95)

CME Advisory Board, Health Management Publications, Inc.

Member, STS/ACC/QIO Joint Project on Defining Uniform Data Standards for Ischemic Heart Disease (1998)

Member, Quality Improvement Committee for the California Society of Thoracic Surgeons

## CONSULTING EXPERIENCE

Development of cardiovascular research programs, statistical methods and analysis, design of cardiac databases, research design and consultation in scientific writing.

- San Francisco Heart Institute, Daly City, California (1983-1985)
- Minneapolis Heart Institute, Minneapolis, Minnesota (1987-1988)
- Joint Commission on Accreditation of Healthcare Organizations Medical Decision Making Project, Chicago, Illinois
- Baylor University Hospital, Dallas, Texas (1990-1993)
- California Heart Network Hospital Consortium (1988-1991)
- Daughters of Charity National Health Care System - Cardiac Database Task Force (1990-1995)
- SIR, Americas, Inc., for development of database products for medical applications (cardiology, orthopedics, prevention)
- Northside Cardiology, P.C., Indianapolis, Indiana (1992-1995)
- The Riner Group, St. Louis, Missouri (1996-2002)
- St. Mary's Hospital, Saginaw, Michigan (2000)
- Firelands Community Hospital, Sandusky, Ohio (2001)
- Blanchard Valley Regional Health Center, Findlay, Ohio (2001)
- Sarasota Memorial Hospital, Sarasota, Florida (2002)
- Regions Hospital, St. Paul, Minnesota (2002)
- Utah Valley Regional Medical Center, Provo, Utah (2002)

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## FUNDING/RESEARCH EXPERIENCE

- 1989 Co-investigator - assessment of urokinase in the treatment of totally occluded saphenous vein grafts - Abbott Laboratories, Abbott Park, Illinois
- 1990 Co-investigator - Thrombolysis and Angioplasty in the Treatment of Unstable Angina (TAUSA) - urokinase in the prevention of complications during angioplasty in patients with acute ischemic syndromes - Abbott Laboratories, Abbott Park, Illinois
- 1990 Co-investigator - multicenter clinical investigation of the pulsed holmium:YAG percutaneous coronary laser system in the treatment of coronary artery disease - Trimeddyne, Tustin, California
- 1991 Co-investigator - Quinapril Ischemic Event Trial (QUIET) - a multicenter randomized double-blind placebo controlled trial of the effect of an ACE inhibitor on ischemic events and disease progression following coronary angioplasty or atherectomy - Parke-Davis Pharmaceutical Research Division, Ann Arbor, Michigan.
- 1992 Co-investigator - Evaluation of 7E3 Fab to Prevent Ischemic Complications (EPIC) - a multicenter randomized double-blind placebo controlled trial to assess the effect of a monoclonal antibody on ischemic complications following coronary angioplasty or atherectomy - Centocor, Malvern, Pennsylvania.
- 1992 Co-investigator - A Multicenter Study to Evaluate the Performance and Safety of the ESA (Arbutamine) System "HOLD" Feature in Patients with Coronary Artery Disease - a multicenter trial to assess the efficacy of a device to maintain a constant state of stress using arbutamine during stress echocardiography - Gensia Pharmaceuticals, Inc., San Diego, California.
- 1993 Co-investigator - Dose-Ranging Trial of Recombinant Urokinase in Patients with Acute Myocardial Infarction - a multicenter trial to evaluate 3 different dosages of a new recombinant form of Urokinase, a thrombolytic therapy for artery thrombotic occlusion - Abbott Laboratories, Abbott Park, Illinois.
- 1993 Co-investigator - GUIDE study, Rotablator evaluation with CVIS ultrasound - done in collaboration with UCSF, Cardiovascular Imaging Systems and Heart Technology.
- 1993 Co-investigator - Hirulog study - multicenter, double-blind placebo controlled trial comparing BG8967 and heparin in patients with unstable angina undergoing PTCA - Biogen and ClinTrials, Cambridge, Massachusetts.
- 1994 Co-investigator - HealthLink: an electronic, remote site care delivery system and applications for primary and secondary prevention alpha test - Phase I - a study of the feasibility of developing an electronic system for monitoring and management of patients with cardiovascular disease - San Francisco Heart Institute, Stanford University School of Medicine and Lake County Health Care, Michigan.
- 1994 Co-investigator - EPILOG - A phase III randomized, double-blind, placebo-controlled trial evaluating 6 month clinical outcome following percutaneous coronary intervention in patients with c7E3 Fab bolus plus 12 hour infusion given with either standard weight-adjusted or low dose weight adjusted heparin - Centocor, Malvern, Pennsylvania.



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- 1996 Co-investigator - EPILOG Stent study - A phase III randomized, double-blind, placebo controlled trial evaluating three interventional treatment arms with and without ReoPro, a monoclonal antibody to prevent platelet aggregation. The 3 arms are: 1) angioplasty plus ReoPro; 2) stent plus ReoPro; and 3) stenting alone in patients who present for treatment of coronary disease - Centocor Therapeutics, Malvern, Pennsylvania.
- 1997 Co-investigator - ZYMED 12-lead EKG study - A randomized trial assessing the feasibility of using a continuous EKG monitoring system to track patients after coronary interventional procedures in transitional care unit compared to standard monitoring in the Coronary Intensive Care Unit - ZYEMD Corporation, Boston, Massachusetts.
- 1998 Co-investigator - SPORT trial - A randomized study to assess the effect of pre-treating coronary lesions with balloons or rotational atherectomy prior to stent placement - SCIMED Life Systems, Inc., Maple Grove, Minnesota.
- 1999 Co-investigator - National Heart Lung and Blood Dynamic Registry for Percutaneous Coronary Intervention Department of Epidemiology, University of Pittsburgh, Pittsburgh, Pennsylvania
- 2000 Co-investigator - TARGET trial - A randomized study assessing Aggrastat and ReoPro in patients presenting for treatment of coronary artery disease - Merck, West Point, Pennsylvania.
- 2000 Co-investigator - "Prevention of Radio-Contrast Media Mediated Acute Renal Failure with Acetylcysteine Study" - A study to determine if Acetylcysteine reduces the rate of contrast dye induced complications in patients with diabetes and depressed renal function undergoing catheterization laboratory procedures
- 2001 Co-investigator - INTEGRITI trial - A randomized study assessing the use of half dose Tenecteplase and Integrilin in patients presenting with acute myocardial infarction - Genentech and COR Therapeutics, South San Francisco, California
- 2001 Co-investigator - NIH project to develop an outcomes management system for depressed cardiac patients (OMSDCP) - Phase I study sponsored by the National Institutes of Health and PsyberMetrics, Bethesda, Maryland and Langhorne, Pennsylvania
- 2001 Co-investigator - Registries for the Society of Thoracic Surgeons (STS) for Adult Cardiac Surgery and FDA post market surveillance registry for Transmyocardial Revascularization Therapy - Chicago, Illinois
- 2002 Co-investigator - TAXUS IV-SR trial - A double-blind randomized trial to evaluate the efficacy of a Single Paclitaxel-Eluting Stent in the treatment of de novo coronary disease - trial sponsored by Boston Scientific Scimed, Inc., Maple Grove, Minnesota
- 2002 Co-investigator - A comparison of bleeding and access site vascular complications with Angiomax versus historical controls in patients undergoing PCI - A study of 75 consecutive high-risk PCI patients who receive Angiomax (bivalirudin) instead of heparin during PCI, comparing outcomes to matched control patients from the San Francisco Heart Institute Cardiac Database - study sponsored by the Medicines Company, Cambridge, Massachusetts.
- 2003 Co-investigator - Enlighten Trial - A registry study designed to assess the efficacy of a small cobalt stent (2.0 to 2.5 mm) in treating disease in small coronary arteries - study sponsored by Guidant Corporation, Sunnyvale, California

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- 2004 Co-investigator – NIH project to test the implementation of an outcomes management system for screening and monitoring depressed cardiac patients (OMSDCP) – Phase II study sponsored by the National Institutes of Health and Polaris Health Directions Bethesda, Maryland and Langhorne, Pennsylvania
- 2004 Co-investigator – The ACC Health Status After Percutaneous Coronary IntErVention (ACHIEVE) Registry - A multi-center registry sponsored by the American College of Cardiology and funded by the CDC and CVT designed to develop structures to measure health status, functioning and satisfaction following Percutaneous Coronary Interventions using the Seattle Angina Questionnaire and standard ACC follow-up
- 2005 Principal Investigator – Project titled “An Automated Data Capture System for Institutions Participating in the CRUSADE National Data Registry” – study to develop and test a system using Tablet PC technology to integrate clinical data for use in collecting validated data for the CRUSADE registry – funding provided by Schering Plough and done in collaboration with Duke Clinical Research Institute (DCRI)
- 2006 Principal Investigator – Project titled “Proposal for the Phase 2 Rollout of the Tablet-PC ACTION automated data capture system for institutions participating in the ACTION National Registry” – study to implement 2 models of implementing the Tablet-PC/Mi-Forms ACTION system (integrated system delivery or Application Service Provider Model) in 15 institutions across the nation in collaboration with Duke Clinical Research Institute (DCRI) and the American College of Cardiology – funding provided by Schering Plough

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CONTRACTS

*Data Coordinating Center, California Heart Network, 1988-1991*

Designed and implemented a fully integrated data collection system for diagnostic catheterization, interventional catheterization procedures, and open heart surgical procedures - designed a scan form to collect data from 8 hospitals in California and produced reports for the Network, which contracted for packaged cardiovascular services from employers in the State of California

*Central Registry/Data Coordinating Center, Daughters of Charity National Cardiac Database, 1992 - 1996*

Direct the data coordinating center for the National Cardiac Database project, which aggregates data from hospitals in the Daughters of Charity System and related health care systems - data come from 3 different software vendors and is integrated into a patient level database - perform data analyses and design reports that are distributed to participating hospitals as well as other hospitals throughout the country.

*Clinical Challenges Model, Guidant Corporation, 1997 - 2000*

Direct the participation of Seton Medical Center in the Clinical Challenges Model sponsored by Guidant Corporation, which involves lesion-level analysis used for product planning and development.

*Analysis of IIb/IIIa Receptor Antagonists in a Community Hospital, Centocor, 1998 - 2000*

Received contract grant for the San Francisco Heart Institute to study the interplay of clinical and economic factors in patients undergoing percutaneous coronary interventions where ReoPro is used. Techniques developed as part of this contract will be used for economic modeling at Centocor.

*Senior Statistical Consultant, American College of Cardiology National Cardiovascular Data Registry, 2000- 2005*

Received a contract to provide statistical support to the NCDR for analysis of data for scientific abstracts and publications and development of risk adjustment methodology for use in publications and the annual report distributed to all NCDR participants.

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## BIBLIOGRAPHY

ABSTRACTS

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2. Fishman-Rosen J, Murphy MC, **Shaw RE**. Factors predicting knowledge gain and retention in patients undergoing coronary angioplasty. **Circulation** 1985;72:III-179 (abstract).
3. **Shaw RE**, Cohen F, Doyle B, Palesky J. The impact of denial and repressive style on information gain and rehabilitation outcomes in myocardial infarction patients. **Psychiatry Digest** 1986;3:7-8 (abstract).
4. **Shaw RE**, Myler RK, Fishman-Rosen J, Murphy MC, Stertz SH, Clark DA, Topol EJ. Clinical and morphologic factors in prediction of restenosis after multiple vessel angioplasty. **Journal of the American College of Cardiology** 1986;7:63A (abstract).
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6. Ryan C, McChesney J, Fishman-Rosen J, Murphy MC, **Shaw RE**. Transdermal clonidine therapy: absence of tachyphylaxis in resistant hypertension on once weekly therapy. **Clinical Research** 1986;34:486A (abstract).
7. **Shaw RE**, Stertz SH, Fishman-Rosen J, Murphy MC, Clark DA, Myler RK. Effect of coping and knowledge on restenosis and late complications after angioplasty (PTCA). Published in the **Proceedings of the X World Congress of Cardiology**, American Heart Association, 1986.
8. Fishman-Rosen J, Murphy MC, **Shaw RE**. Relationship of self-description to patient characteristics and medical outcomes in patients undergoing coronary angioplasty. Published in the **Proceedings of the X World Congress of Cardiology**, American Heart Association, 1986.
9. Murphy MC, Fishman-Rosen J, **Shaw RE**. A longitudinal study of patterns of anxiety in patients undergoing coronary angioplasty. Published in the **Proceedings of the X World Congress of Cardiology**, American Heart Association, 1986.
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11. **Shaw RE**, Fishman-Rosen J, Murphy MC, Stertz SH, Clark DA. Factors predicting restenosis and late complications following coronary angioplasty. **Circulation** 1986;74:II-320 (abstract).
12. Boucher RA, Fishman-Rosen J, **Shaw RE**, Stertz SH, Myler RK. Success of angioplasty (PTCA) in treating segmental stenoses with length greater than 10 mm. **Journal of the American College of Cardiology** 1987;9:182A (abstract).
13. Ellis SG, Roubin GS, **Shaw RE**, Stertz SH, Myler SH, Douglas JS, King SB. In-hospital cardiac mortality following acute closure after coronary angioplasty - analysis of risk factors from 8207 procedures. **Circulation** 1987;76:IV-400 (abstract).

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16. Andreae GE, Stertz SH, Myler RK, Clark DA, **Shaw RE**. Coronary angioplasty in patients with increasing disease burden: success rate and acute complications. **Journal of the American College of Cardiology** 1988;II(2):128A (abstract).
17. Rapeport KB, Myler RK, **Shaw RE**, Stertz SH, Clark DA, Boucher RA, Murphy MC. Five to seven year follow-up after coronary angioplasty: outcome in simple and complex anatomy. **Journal of the American College of Cardiology** 1988;II(2):128A (abstract).
18. Stertz SH, Andreae GE, Myler RK, Clark DA, **Shaw RE**, Fishman J, Murphy MC. The use of a guidewire to limit myocardial damage in patients requiring emergency bypass surgery after abrupt closure following coronary angioplasty. **Catheterization and Cardiovascular Diagnosis** 1988;15:204 (abstract).
19. Stertz SH, Mooney MR, Clark DA, Myler RK, Marco J, Vandormael M, Fishman J, **Shaw RE**. Coronary angioplasty and intra-aortic counterpulsation - a multicenter trial. **Catheterization and Cardiovascular Diagnosis** 1988;15:206 (abstract).
20. Clark DA, Marquardt W, Myler RK, **Shaw RE**, Stertz SH, Andreae GE, Andreae LT, Fishman J, Murphy MC. Coronary angioplasty in patients with prior bypass surgery: early and long term results. **Catheterization and Cardiovascular Diagnosis** 1988;15:205 (abstract).
21. Ellis SG, **Shaw RE**, Gershony G, Thomas R, Black AJ, Furman M, Roubin GS, Clark DA, Stertz SH, Myler RK, Douglas JS. Restenosis after coronary angioplasty for subacute and chronic total occlusions: risk factors, time course, treatment effect. **Circulation** 1988;78:II-289 (abstract).
22. Myler RK, Mooney MR, Fishman Mooney J, **Shaw RE**, Stertz SH, Murphy MC. Longterm followup 12 years after coronary angioplasty: outcome in coronary bypass and angioplasty groups. **Catheterization and Cardiovascular Diagnosis** 1989;17:62 (abstract).
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24. Mayo J, Myler RK, Webb J, **Shaw RE**, Stertz SH, Ryan C. Is timing after coronary angioplasty (PTCA) important for early and late outcome in unstable angina pectoris? **Circulation** 1989;80:II-172 (abstract).
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26. Murphy MC, Mooney J, **Shaw RE**. What impact do cardiac interventions have on the psychological status of patients? **Heart and Lung** 1989;18:307 (abstract).

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27. Webb J, Myler RK, **Shaw RE**, Anwar A, Stertz SH. Follow-up and bidirectional cross-over between angioplasty and bypass surgery. **Journal of the American College of Cardiology** 1990;15:13A (abstract).
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29. Hecht HS, **Shaw RE**, Myler RK, Stertz SH. Tomographic Thallium-201 exercise imaging accurately detects individual vessel restenosis after single and multiple vessel coronary angioplasty. **Journal of the American College of Cardiology** 1990;15:147A (abstract).
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33. Hecht HS, **Shaw RE**, Bruce T, Myler RK, Stertz SH. Disease progression in previously normal vessels after coronary angioplasty: detection by tomographic thallium-201 exercise imaging. **Journal of Nuclear Medicine** (abstract) 1990;31:836.
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35. Murphy MC, **Shaw RE**, Stertz SH, Myler RK, Hansell HN. Risk factors associated with angiographic progression of coronary artery disease. **Heart and Lung** (abstract) 1990;19:310.
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